

Register of Credit Rating Agency Registration Numbers

Financial Service Agency

Registration number	Date of registration	Name of Credit Rating Agency
(Rating) No.____	(YYYY/MM/DD)	

(Note) If registration has been rescinded, draw a line through the entry.

Document number:

Date:

(Trade name)

To: (Full name of representative person)

Commissioner of the Financial Services Agency

Registration of credit rating business

In accordance with Article 57(3) of the Financial Instruments and Exchange Act as applied mutatis mutandis pursuant to Article 66-48 of the same act, I hereby notify that registration regarding the application filed on (YYYY/MM/DD) has been completed as follows:

Date of registration: (YYYY/MM/DD)

Registration number: Commissioner of the Financial Services Agency (Rating) No. ____

Document number:

Date:

(Trade name)

To: (Full name of representative person)

Commissioner of the Financial Services Agency

Refusal of registration of credit rating business

In accordance with Article 57(3) of the Financial Instruments and Exchange Act as applied mutatis mutandis pursuant to Article 66-48 of the same act, I hereby notify that the application for registration of credit rating business filed on (YYYY/MM/DD) has been refused on the following grounds.

If you are dissatisfied with the refusal, you may request the Commissioner of the Financial Services Agency to conduct an inspection under the Administrative Appeal Act (Law No. 68, 2014) within three months after the date on which you become aware of the refusal.

If you demand the rescission of this action in a legal proceeding, you may file an action against the national government for rescission under the Administrative Appeal Act (Law No. 139, 1962) within six months after the date on which you become aware of the refusal.

Grounds for refusal

Application for Inspection of the Registry of Credit Rating Agencies

Date: MM/DD/YY

To the Commissioner of the Financial Services Agency

Purpose of Inspection	
-----------------------	--

Registration No.	Tradename or name of Credit Rating Agency	Lending confirmed	Return confirmed

I wish to borrow the registry in order to inspect the registrations of the credit rating agencies stated above.

Applicant: Name _____

Address _____

Phone _____

Occupation _____

Time Lent	
Time Returned	