



Introductory Presentation

Atty. Trina Donabelle R. Gojunco

Securities and Exchange
Commission (SEC) Philippines



Overview of Philippine Securities Market

Publicly Listed Corporation (PLC)		
	Number of PLCs as of Q3 2021	Percentage of local retail participation as of CY 2021 (by value traded)
		Equity
Philippines	272	31.10%

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Overview of Philippine Securities Market

Gross Domestic Product		
Size of the capital market relative to GDP as of Q3 2021 (USD billions, % of GDP)		
Equity	Bond	REIT
267 (73.76%)	191 (51.68%)	3.46 (0.96%)

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**Amount of Green, Social, Sustainable (GSS) bonds issued as of Q3 2021
(USD billions)**

Consolidated	Green	Social	Sustainable
4.222	2.105	-	2.117

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Overview of Philippine Securities Market

Equities Market Performance			
as of 31 December 2021			
	Close	Comparative Change (%)	
		m-o-m	y-o-y
PSEi	7,122.63	-1.09	-0.24
All Shares	3,818.12	-0.52	-10.64
Financials	1,606.17	2.31	10.95
Industrial	10,404.09	-0.34	10.76
Holdings Firms	6,807.27	-1.81	-7.44
Property	3,219.68	-2.35	-12.14
Services	1,986.37	-0.01	31.19
Mining and Oil	9,601.70	1.71	0.77
Stock Market Indicators:			
	Amount (in PHP)	y-o-y change (%)	
Total Market Capitalization	18.08 Trillion	13.8	
Total Capital Raised	156.03 Billion	121.4	
Average Daily Value Traded	9.00 Billion	22.5	
Foreign Transactions (net sell)	2.75 Billion		

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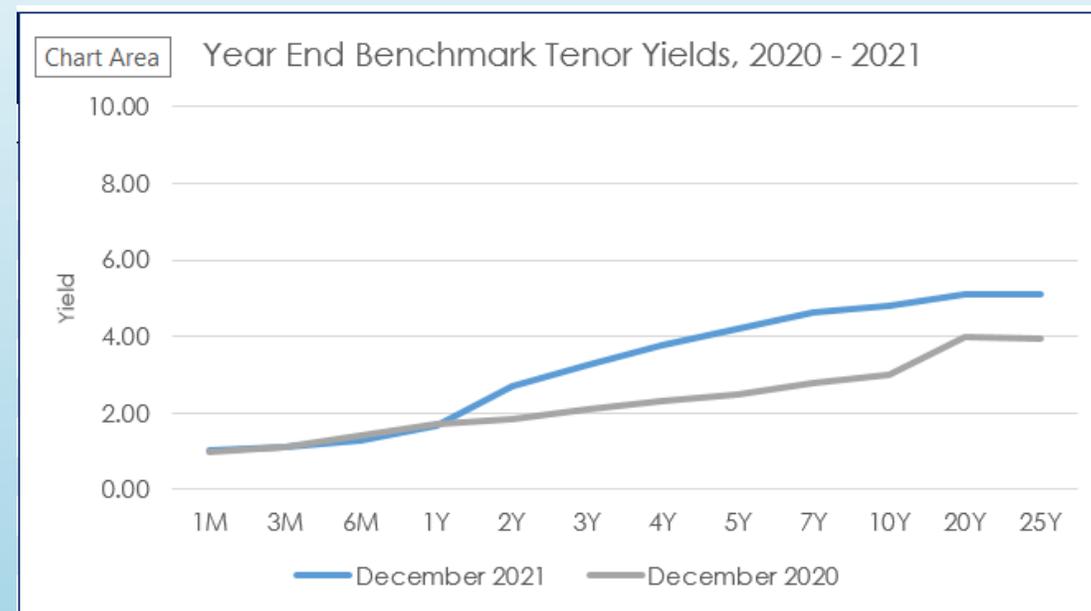
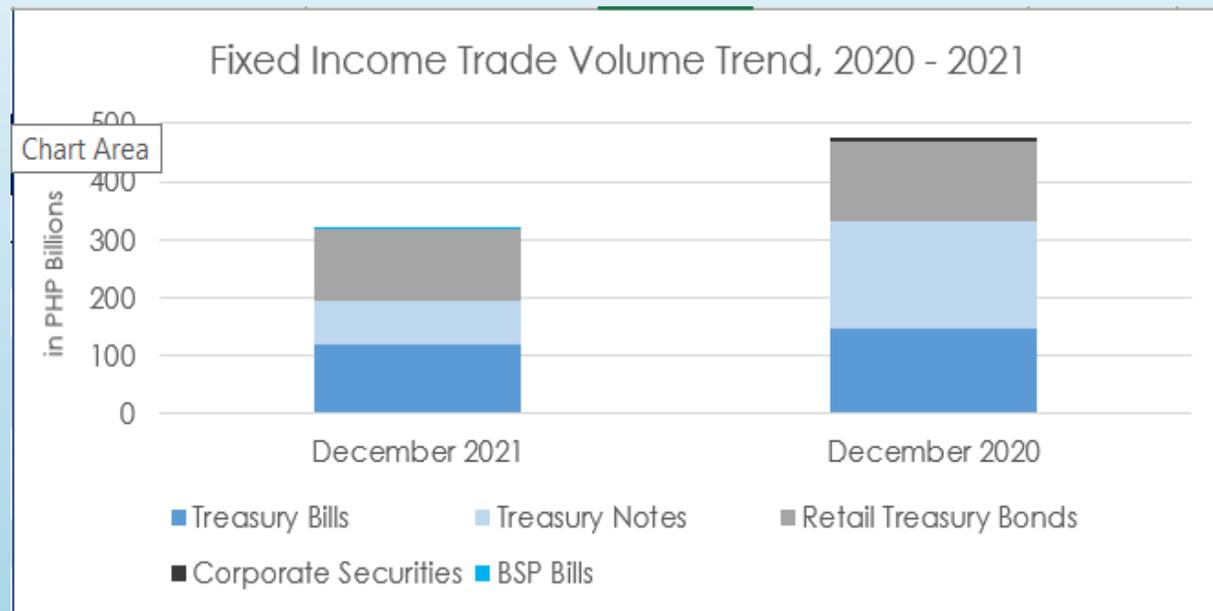
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	Trade Volume (in PHP Billions)	Comparative Change (%)	
		m-o-m	y-o-y
Treasury Bills	118.40	-18.98	-18.43
Treasury Notes	75.42	-45.07	-59.50
Retail Treasury Bonds	122.73	263.12	-10.81
Corporate Securities	2.16	-16.26	-62.79
BSP Bills	0.35	-	-
Total	319.07	0.23	-32.80

Tenor	Yield (End of December 2021)	Comparative Change (%)	
		m-o-m	y-o-y
1M	1.0148	-7.68	2.20
3M	1.0945	-11.98	-2.01
6M	1.2693	-13.07	-10.23
1Y	1.6597	-0.91	-3.05
2Y	2.6781	-3.60	45.08
3Y	3.2512	-0.73	56.53
4Y	3.7690	1.64	63.94
5Y	4.1966	3.35	67.66
7Y	4.6311	2.31	66.41
10Y	4.8222	-3.79	60.95
20Y	5.0908	1.48	28.39
25Y	5.0840	1.67	28.71

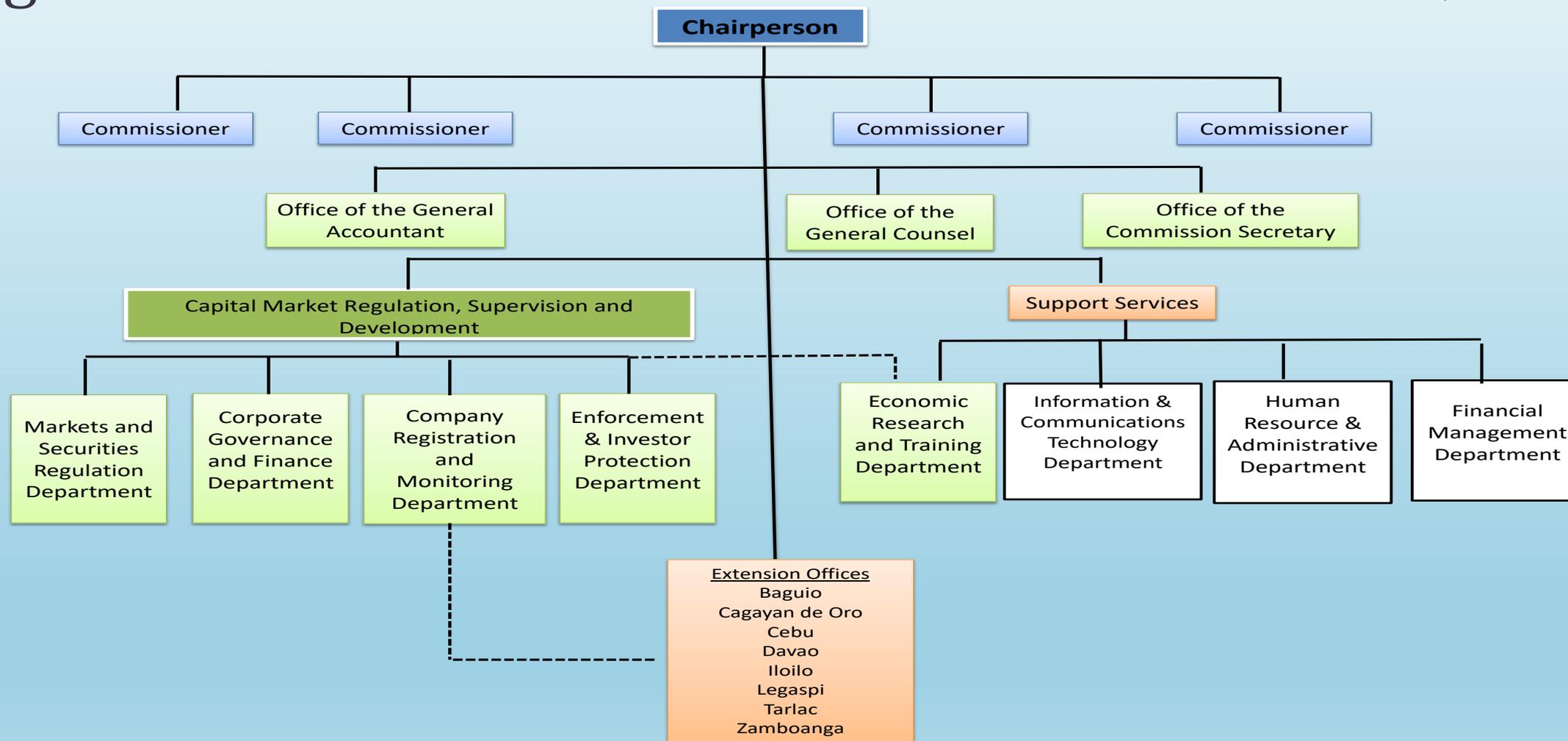
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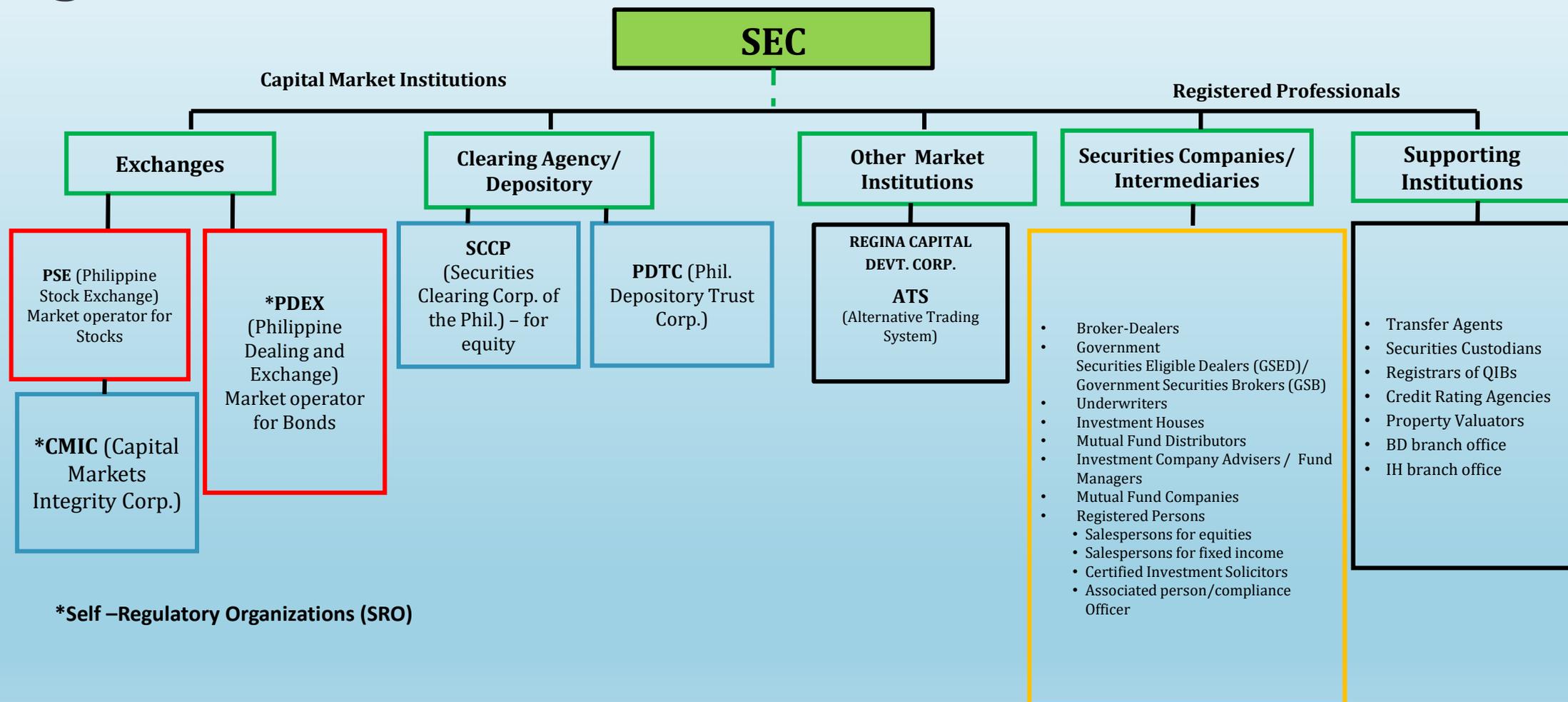


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Organization Structure of the SEC



Organization Structure of the SEC



Company Registration and Monitoring Department (CRMD)



- Compliance and Monitoring Division (CMD)
- Corporate and Partnership Registration Division (CPRD)
- Corporate Filing and Records Division (CFRD)
- Financial Analysis and Audit Division (FAAD)

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Functions of the CRMD

- Registers corporations and partnerships
- Grant licenses to
 - Foreign companies doing business in the Philippines
 - Lending, financing companies and capital market participants
- Processes applications for amendment of Articles of Incorporation (AOI) and By-laws (BL), mergers and other corporate reorganization that require prior approval of the Commission

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Functions of the CRMD

- Handles petitions for correction of AOI and BL and their amendments, revocation of amended AOI and amended BL and revocation of certificates of incorporation for non-compliance with reportorial requirements
- Monitors compliance by registered corporations with their reportorial responsibilities under the Revised Corporation Code
- Verifies and reserves proposed company names

Functions of the CRMD

- Issues certification on corporate filings and information
- Attends court hearings and processes as witness, and as resource person in inter-agency meetings, including provision of corporate records to other government agencies
- Hears/ decides administrative case within CRMD's jurisdiction
- Attends to public queries
- Performs such other functions as may be directed by the Chair and the Commissioners

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Recent Policy Initiatives

- Digitalization Initiatives
 - Electronic Simplified Processing of Application for Registration of Company (eSPARC)
 - Electronic System for Payments to SEC (eSPAYSEC)
 - Electronic Filing and Submission System (eFAST)

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THANK YOU!

Website: www.sec.gov.ph