

○ **Regulation for Enforcement of the Insurance Business Act (Ministry of Finance Order No.5 of 1996)**

(Application for Permission of Application for Insurance Contract)

Article 117 [omitted]

(2) In filing a written application for permission under the preceding paragraph, the following documents must be attached: In this case, if the documents listed in items (i) through (iv) are prepared in English, the translations provided for in Article 2 are not required to be attached.

(v) Japanese translations of the outlines of the documents listed in each of the preceding items (limited to those prepared in English) (limited to the case where it is found necessary by the Commissioner of the Financial Services Agency);

Appended Form 9 (Related to Article 117)

(Japanese Industrial Standards A4)

Written Application for Permission for the Application of Specific Life Insurance Contract for Foreign Insurers With No Branch Office in Japan

Date: / /

To: Commissioner of the Financial Services Agency

Address or the location of head office or principal office

Trade name or name

Full name

(In the case of a corporation, etc., the full name of the representative)

Based on the provisions of Article 186, paragraph (2) of the Insurance Business Act and Article 117 of the Regulation for Enforcement of the said Act, I hereby apply for the permission for the application of specific life insurance contract with the following content.

Description

1. Type and name of insurance contract
2. Foreign insurer
 - (1) Full name or trade name or name and full name of representative
 - (2) Address or the location of head office or principal office
 - (3) Organization
3. Policyholder
 - (1) Name or trade name and name of representative person
 - (2) Address or the location of head office or principal office
4. Insured person
 - (1) Full name
 - (2) Address
5. Beneficiary of insurance proceeds or other benefits
 - (1) Name or trade name and name of representative person
 - (2) Address or the location of head office or principal office

- 6. Amount of insurance proceeds or other benefits
- 7. Insurance period
- 8. Payment method and payment period of insurance premiums
- 9. Insurance premiums
- 10. Grounds for payment of insurance proceeds or other benefits and content of the benefits
- 11. Ground for exemption, cause of invalidation of contract, cause of cancellation in whole or part of contract, and rights and obligations of the parties if the cancellation is effected
- 12. Existence and content of policyholder dividends
- 13. Name and content of options
- 14. Existence and outline of contracts with foreign insurers without a branch office in Japan
- 15. Other reference matters

(Remarks)

- 1. For a person who has changed his/her surname, the old surname and given name may also be stated in parentheses in the section for the full name.
- 2. If the policyholder or beneficiary of insurance proceeds or other benefits is a foreign national or foreign corporation with a residence or office in Japan, state the principal one.
- 3. If the policyholder is a corporation and the insured persons are its executives and/or employees or their relatives, it suffices to state to that effect in the section for insured person. In this case, if the insured persons are to receive the insurance proceeds or other benefits, it also suffices to state to that effect in the section for insurance proceeds or other benefits.
- 4. For the amount of insurance proceeds or other benefits and the insurance premiums, clearly specify which country's currency is stated. If it is to be stated in a foreign currency, state the amount converted into Japanese currency. If it is to be stated in a foreign currency but the insurance proceeds or other benefits are to be paid in Japanese currency or it is to be stated in Japanese currency but the insurance proceeds or other benefits are to be paid in a foreign currency, clearly state to that effect, respectively.
- 5. If more than one person is to be the beneficiary of insurance proceeds or other benefits, state the type and amount of the insurance proceeds or other benefits that each person receives.

Appended Form 10 (Related to Article 117)

(Japanese Industrial Standards A4)

Written Application for Permission for the Application of Specific Non-Life Insurance Contract for Foreign Insurers Without a Branch Office, Etc. in Japan

Date: / /

To: Commissioner of the Financial Services Agency

Address or the location of head office or principal office
Trade name or name
Full name
(In the case of a corporation, etc., the full name of the representative)

Based on the provisions of Article 186, paragraph (2) of the Insurance Business

Act and Article 117 of the Regulation for Enforcement of the said Act, I hereby apply for the permission for the application of specific non-life insurance contract with the following content.

Description

1. Type and name of insurance contract
2. Foreign insurer
 - (1) Full name or trade name or name and full name of representative
 - (2) Address or the location of head office or principal office
 - (3) Organization
3. Policyholder
 - (1) Full name or trade name or name and full name of representative
 - (2) Address or the location of head office or principal office
4. Insured person
 - (1) Full name or trade name or name and full name of representative
 - (2) Address or the location of head office or principal office
5. Outline of purpose of insurance and insurable value
6. Amount of insurance proceeds or other benefits
7. Insurance period
8. Method of paying insurance premiums and method of receiving insurance proceeds or other benefits
9. Insurance premium rate and insurance premiums
10. Grounds for payment of insurance proceeds or other benefits or method of compensating for damage
11. Ground for exemption and obligation to announce or give notice
12. Content of special contract
13. Existence and outline of insurance contracts with foreign insurers without a branch, etc. office in Japan
14. Other reference matters

(Remarks)

1. For a person who has changed his/her surname, the old surname and given name may also be stated in parentheses in the section for the full name.
2. If the policyholder is a foreign national or foreign corporation with a residence or office in Japan, also state the principal one.
3. If the policyholder is a corporation and the insured person are its executive and/or employees and/or their relatives, it suffices to state to that effect in the section for insured person.
4. For the amount of insurance proceeds or other benefits and the insurance premiums, clearly specify which country's currency is stated. If it is to be stated in a foreign currency, state the amount converted into Japanese currency. If it is to be stated in a foreign currency but the insurance proceeds or other benefits are to be paid in Japanese currency or it is to be stated in Japanese currency but the insurance proceeds or other benefits are to be paid in a foreign currency, clearly state to that effect, respectively.