

Regulatory Notice specifying necessary documents based on Article 18 (1) of the Ordinance on Financial Services Providers' Deposit for Operation

○ Regulatory Notice of the Financial Services Agency No.

The documents specified by the Commissioner of the Financial Services Agency based on the provisions of Article 18, paragraph (1) of the Ordinance on Financial Services Providers' Deposit for Operation (Order of the Cabinet Office and the Ministry of Justice No. 3 of 2007) are provided as follows, and the provisions apply as from      , 2022.

Date:      , 2022

NAKAJIMA Junichi, Commissioner of the Financial Services Agency

Documents specified by the Commissioner of the Financial Services Agency as prescribed in Article 18, paragraph (1) of the Ordinance on Financial Services Providers' Deposit for Operation are those concerning the person to whom the provisions of Article 2, paragraph (1) of the Cabinet Office Order on Financial Instruments Business (Cabinet Office Order No. 52 of 2007) apply.