年　　月　　日

**Example**

**Submit this form without delay**

MM/DD/YYYY

**Enter registration number**

金融庁長官　殿

To Commissioner of Financial Services Agency

登録番号　○○財務局長(金商)第　　　　号

Registration number: ○○財務局長(金商)第　　　　号

所在地

Location:

商号又は名称

Trade name or name:

代表者の役職氏名

Title and name of representative person:

外国の法令に基づく不利益処分に関する届出書

Notification of an adverse disposition under foreign laws and regulations

下記の不利益処分を受けたことから、金融商品取引法第５０条第１項第８号、並びに金融商品取引業等に関する内閣府令第１９９条第１０号の規定により届出いたします。

We hereby submit a notification because we received an adverse disposition as follows in accordance with the provisions of Article 50 paragraph (1) item 8 of the Financial Instruments and Exchange Act and Article 199 item 10 of the Cabinet Office Order on Financial Instruments Business, etc.

記

Details

|  |  |
| --- | --- |
| 不利益処分の内容Particulars of the adverse disposition |  |
| 処分年月日Date of disposition | 年　　　　月　　　　日MM/DD/YYYY |
| 不利益処分の理由Reasons for the adverse disposition |  |

添付書類

Attachments

　不利益処分を規定する外国の法令及び訳文

Foreign laws and regulations providing for adverse dispositions and their Japanese or

**Any format can be used**

English translations (in case of other foreign languages)

**Notes**

**Describe the reason for the disposition in specific terms.**

連絡担当者　　　　所属

Contact person: Department

役職氏名

Title and name

電話番号

Telephone number