

[Month] [Day], [Year]

To: Secretary-General, Executive Bureau, Securities and Exchange Surveillance
Commission

Requested by: [Name of representative of the business operator]

Opinion Submission Form

Pertaining to the inspection conducted as of the inspection cut-off date of [Month] [Day], [Year] (date of post-inspection comments & remarks regarding the inspection: [Month] [Day], [Year]), we hereby report a difference of opinions between the inspectors and our company as attached hereto in accordance with the opinion submission system.

Items on which the views of the business operator being inspected and the inspectors were clearly different, in the exchange of opinions during the on-site inspection (the “Disputed Matters”)

Facts	Opinions of the Business Operator Being Inspected	Opinions of the Inspectors

Background to the Disputed Matters

Date	Discussion	Remarks
[Month] [Day], [Year]		
[Month] [Day]		